

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
March 2023

**PATHFINDER
WEALTH
ADVISORS**

Richard M. Blosser

**1901 Avenue of the Stars, Ste. 217
Los Angeles, CA 90067**

**Firm Contact:
Richard Blosser
Chief Compliance Officer**

This brochure supplement provides information about Mr. Blosser that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Blosser at (310) 945-5490 or rblosser@pathfinderwa.com if you did not receive Pathfinder Advisors, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Blosser is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #1676712.

Item 2: Educational Background & Business Experience

Richard M. Blosser

Year of Birth: 1963

Educational Background:

- 1992: UCLA Anderson School of Management; Master of Business Administration
- 1986: Princeton University; Bachelor of Arts in Economics

Business Background:

- 02/2020 – Present Pathfinder Advisors, LLC; Founder, Managing Partner, & CCO
- 10/2019– 03/2023 Axxcess Wealth Management, LLC; IAR
- 10/2020 – 07/2021 Wedbush Securities, Inc.; Registered Representative
- 11/2015– 10/2019 Wells Fargo Advisors; Managing Director
- 11/2008 – 12/2015 UBS; Managing Director
- 07/1992 – 11/2008 Morgan Stanley; Executive Director

Exams, Licenses & Other Professional Designations:

- 2013: CA Insurance Licensed; License no. 0I68957
- 1994 Series 65 Exam
- 1992: Series 63 Exam

Item 3: Disciplinary Information¹

In October 2022, Mr. Blosser voluntarily signed a Letter of Acceptance, Waiver and Consent with the Financial Industry Regulatory Authority (FINRA). As part of this settlement, Mr. Blosser voluntarily agreed to a bar from being a registered representative of a broker dealer. The reason for the bar was his decision not to testify pursuant to FINRA Rule 8210 and participate in an investigation FINRA was conducting regarding Wells Fargo, a broker dealer Mr. Blosser was affiliated with from 2015 to 2019. Since Mr. Blosser already voluntarily gave up his brokerage license in 2021, before he received notice of FINRA's investigation, he did not want the hassle, distraction and expense associated with responding to FINRA. He decided to accept a bar from FINRA and the brokerage industry, since he already decided not to engage in activities with clients that would require him to be a registered representative of a broker dealer. This is a license, and these are transactions, that he stopped using when he became an independent advisor at Pathfinder and was no longer employed by a broker dealer. At that time, he chose to concentrate on his registered investment advisory practice, where he is a fiduciary and fee-based advisor and not work with clients as a registered representative being paid by commission.

Item 4: Other Business Activities

Mr. Blosser is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Blosser, as a fiduciary, will act in the client's best interest.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 5: Additional Compensation

Mr. Blosser does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Blosser is the sole owner and Chief Compliance Officer and as such he manages and directs the has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. In addition to serving as Chief Compliance Officer, Mr. Blosser leverages Axxcess Wealth Management, LLC's back-office suite of services which includes compliance oversight and software. Mr. Blosser also works with outside compliance consultants who do not supervise Mr. Blosser. All inquiries related to the firm's compliance program should be directed to the Chief Compliance Officer, Mr. Blosser.

Item 7: Requirements for State-Registered Advisers

Mr. Blosser has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.